

Code	SSI
Company name	Saigon Securities Incorporation
Date	10/02/2015
Subject	Report on Change in Ownership by inside shareholders and affiliated organizations

Content:

Saigon Securities Incorporation has disclosed the change in Ownership by inside shareholders and affiliated organizations after payment for the 2014 dividend in stock:

No	Trader	Position	Owning volume before issuing	Volume registered to issue	Owning volume after issuing	Ratio
1	Nguyen Duy Hung	Chairman of the BOD cum CEO	294,000	29,400	323,400	0.07%
2	Nguyen Hong Nam	A member of the BOD cum Deputy CEO	115,200	11,520	126,720	0.03%
3	Ngo Van Diem	A member of the BOD	40,000	4,000	4,000 (sold 40,000 shares on Sep 29, 2015)	0.00%
4	Bui Quang Nghiem	A member of the BOD	184,704	18,470	203,174	0.05%
5	Hironori Oka	A member of the BOD				
6	Nguyen Duy Khanh	A member of the BOD				
7	Nguyen Van Khai	Head of the Supervisory Board	50,414	5,041	55,455	0.01%
8	Dang Phong Luu	A member of the BOS	40,608	4,060	44,668	0.01%

9	Ho Thi Huong Tra	A member of the BOS	20,601	2,060	22,661	0.005%
10	Nguyen Thi Thanh Ha	CFO	102,000	10,200	112,200	0.02%
11	Hoang Thi Minh Thuy	Chief Accountant				
12	NDH Investment Co., Ltd	Affiliated person: Nguyen Duy Hung, Chairman of the BOD at NDH Investment Co., Ltd and CEO at SSI	48,358,743	4,835,884	53,194,727	11.32%
13	Daiwa Securities Group Incorporation	Affiliated person: Hironori Oka, a member of the BOD at SSI	43,733,274	4,373,327	48,106,601	10.23%
14	Saigon Dan Linh Real Estate Co., Ltd	Affiliated person: Nguyen Hong Nam, A member of the BOD cum Deputy CEO at SSI	28,297,585	2,829,758	29,127,343 (sold 2,000,000 shares on Aug 28, 2015)	6.20%